

SONRISE OPPORTUNITY, INC.

Privacy Policy Notice

Regulation S-P | Texas Business & Commerce Code | Annual Client Notice

Adopted by: David Roskoph, Managing Advisor / CCO | Effective: March 20, 2026

Annual review required | CONFIDENTIAL - Internal compliance document

YOUR PRIVACY RIGHTS

Sonrise Opportunity, Inc. is committed to protecting the confidentiality and security of your personal financial information. This notice describes what nonpublic personal information we collect, how we use it, and your rights regarding that information. Federal law (Regulation S-P, 17 C.F.R. Part 248) and the Texas Business & Commerce Code (Chapter 521) require us to provide this notice to you at account opening and annually thereafter.

'Nonpublic personal information' means personally identifiable financial information - and any list, description, or grouping derived from it - that is not publicly available.

1. INFORMATION WE COLLECT

We collect nonpublic personal information about you from the following sources:

- Information you provide on account applications, investor profile questionnaires, and other forms - including your name, address, Social Security number, date of birth, income, net worth, investment objectives, and employment information.
- Information about your transactions with us - including account balances, transaction history, advisory fees charged, and investment positions.
- Information from your custodian (Charles Schwab & Co., Inc.) - including account statements, trade confirmations, and related account data provided to us in connection with our advisory services.

This notice also covers nonpublic personal information collected in connection with life and health insurance products we may recommend or through which we may receive commissions as a licensed Texas insurance producer.

2. INFORMATION WE SHARE

We do not sell your personal information to third parties. We share your personal information only in the following limited circumstances:

- Service providers: We share information with Schwab and other service providers (such as technology vendors and accountants) as necessary to manage your account and provide advisory services. These parties are contractually required to protect your information.
- Required by law: We may disclose information when required by applicable law, court order, or regulatory authority, including the Texas State Securities Board.
- With your consent: We may share information with third parties if you provide written authorization.

We do not share your personal information with nonaffiliated third parties for marketing purposes.

Because we do not share your personal information with nonaffiliated third parties outside of the permitted exceptions described above, no opt-out election is required.

3. HOW WE PROTECT YOUR INFORMATION

We maintain physical, electronic, and procedural safeguards designed to protect your nonpublic personal information, including:

- Password-protected and encrypted electronic systems for all client data.
- Multi-factor authentication on all platforms that hold client information.
- Access to client information limited to personnel with a need to know.
- Secure disposal of physical documents containing personal information.

4. SECURITY BREACH NOTIFICATION

In the event of a security breach involving your personal information, we will notify you promptly and, where required, appropriate regulatory authorities - including the Texas Attorney General - in accordance with Texas Business & Commerce Code Section 521.053. Notification will describe the nature of the breach, the information involved, and steps you may take to protect yourself.

5. FORMER CLIENTS

If you close your account or otherwise end your relationship with Sonrise Opportunity, we will continue to protect your nonpublic personal information in accordance with this notice.

6. CHANGES TO THIS NOTICE

We will provide you with a revised Privacy Policy Notice if we make any material changes to our privacy practices. We provide this notice annually, or more frequently if required by law.

7. QUESTIONS

If you have questions about our privacy practices, please contact us at:

Sonrise Opportunity, Inc. | David Roskoph | (253) 888-6603
16823 Cobble Ridge Dr | Sugar Land, TX 77498 | www.gosonrise.com

This Privacy Policy Notice is delivered to clients at account opening and annually thereafter. Retain a copy for your records.

Next scheduled annual review: March 2027